Please type a plus sign (+) inside this box -

JAN 0 4 2002 °

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Pates and Trademark Office: U.S. DEPARTMENT OF COMMERCE
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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission 15

Application Number 09/882,283

Filing Date June 14, 2001

First Named Inventor Chen, Xiaopeng

Group Art Unit 2631

Examiner Name Unassigned

Attorney Docket Number 020945-001510US

			<u> </u>					
ENCLOSURES (check all that apply)								
Fee Transmittal F	- Form	Assignment Pap		After Allowance Communication to Group				
Fee Attached	l	Drawing(s)		Appeal Communication to Board of Appeals and Interferences				
Amendment / Res	sponse	Licensing-relate	d Papers	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)				
After Final		Petition Routing and Accompany	Slip (PTO/SB/69) ring Petition	Proprietary Information				
Affidavits/declaration(s)		Petition to Convert to a Provisional Application		Status Letter				
Extension of Time	e Request	Power of Attorne Change of Corre	ey, Revocation espondence Address	Other Enclosure(s) (please identify below):				
Express Abandon	nment Request	☐ Terminal Disclai		Application Data Sheet (ADS). Declaration.				
Information Disclosure Statement		CD, Number of		Power of Attorney. Recordation Form Cover Sheet. Copy of the Notice to File Missing Parts. Postcard.				
Certified Copy of Priority Document(s)		Remarks	The Commissioner is authorized to charge any additional fees Deposit Account 20-1430.					
Response to Missing Parts/ Incomplete Application			'					
Response to Missing Parts under 37 CFR 1.52 or 1.53								
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT								
Firm	Townsend and Townsend and Crew LLP							
and Individual name	Kenneth R. Allen Reg. No. 27,301							
Signature	nature Kennetti R. Alle							
Date	Date /0/22(57							
CERTIFICATE OF MAILING								

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date:

October 22, 2001

Typed or printed name

Kristipa Alvaręz

Signature

Date

10/22/01

Burden Hour Statement: This form is estimated to take 0.2 hours to <u>complete</u>. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be send to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

PA 3178453 v1

Approved se through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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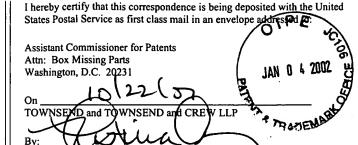
EEE TO ANOMITTAL	Complete If Known				
FEE TRANSMITTALE	Application Number	09/882,283			
for FY 2001	Filing Date	June 14, 2001			
Particulation are subject to acquisit business IAN 0 & 2002	First Named Inventor	Chen, Xiaopeng			
Patent fees are subject to annual teylision AN 0 1, 2002	Examiner Name	Unassigned			
	Group Art Unit	2631			
AMOUNT OF PAYMENT (\$) 1462	Attomov Dooket No	020945-001510US			

TOTAL AMOUNT OF FATHERY (4) 1402, TRANSPORT						Attorney bocket No.   9255 to 50101000							
METHOD OF PAYMENT					FEE CALCULATION (continued)								
The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:					3. ADD	ITIONAL	FEES						
Deposit Account	٢			and credit any o	ver paymer	nts to:	Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid	
Account 20-1430				105	130	205	65	Surcharge - late filing fee or oath	130				
Deposit							127	50	227	25	Surcharge - late provisional filing fee or cover sheet.		
Account Townsend and Townsend and Crew LLP						139	130	139	130	Non-English specification			
Name .						147	2,520	147	2,520	For filing a request for reexamination			
☐ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17					112	920*	112	920*	Requesting publication of SIR prior to Examiner action				
See	37 CFR			status.			113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action		
2. 🗌 Pay	yment	Enclose	ed:				115	110	215	55	Extension for reply within first month		
☐ Chec	k [	] Credit	t card	☐ Money		ther	116	400	216	200	Extension for reply within second month		
				Order			117	920	217	460	Extension for reply within third month		
FEE CALCULATION  1. BASIC FILING FEE						118	1,440	218	720	Extension for reply within fourth month			
Large Ent	ity Sm	nall En	tity				128	1,960	228	980	Extension for reply within fifth month		
Fee Fee				Fee Description	1		119	320	219	160	Notice of Appeal		
Code (\$)	Co		-		_	Fee Paid	120	320	220	160	Filing a brief in support of an appeal		
101 740				Utility filing fee	L	740	121	280	221	140	Request for oral hearing		
106 330 107 510				Design filing fee Plant filing fee	-		138	1,510	138	1,510	Petition to institute a public use proceeding		
108 740	208	8 37	0	Reissue filing fee	, [		140	110	240	55	Petition to revive - unavoidable		
114 160	214	4 80	) 1	Provisional filing	fee		141	1,280	241	640	Petition to revive – unintentional		
					_		142	1,280	242	640	Utility issue fee (or reissue)		
		SUBT	TOTAL	_ (1)	L	(\$)740	143	460	243	230	Design issue fee		
2. EXTRA C	LAIM F	EES					144	620	244	310	Plant issue fee		
				Extra Fe	e from	Fee	122	130	122	130	Petitions to the Commissioner		
Total Claims [	32	] -20**		Claims bel	ow 18 =	Paid \$216	123	50	123	50	Petitions related to provisional applications		
Independent Claims	7	-3**	= [	4 X \$	84 =	\$336	126	180	126	180	Submission of Information Disclosure Stmt		
Multiple Dependent		•	_	x [	=		581	40	581	40	Recording each patent assignment per property (times number of properties)	40	
Fee F		ee	Entity Fee	/ Fee Descript	ion		146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))		
Code (\$	•	20 <b>de</b> 203	( <b>\$</b> ) 9	Claims in exc			149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))		
102 8		202	42	Independent of	claims in ex	cess of 3	179	740	279	370	Request for Continued Examination (RCE)		
104 28	80 2	04	140	Multiple deper			169					<b>  </b>	
109 84	4 2	:09	42	original paten	** Reissue independent claims over original patent			900	169	900	Request for expedited examination of a design application		
over original patent							Other fee (specify)						
SUBTOTAL (2) (\$)552					The Commissioner is authorized to charge any additional fees to the above noted Deposit Account.								
**or number previously paid, if greater; For Reissues, see above					*Reduc	ed by Ba	sic Filing	Fee Pa	sid SUBTOTAL (3) (\$)170				
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SUBMITTED BY		Co	Complete (if applicable)		
Name (Print/Type)	Kenneth R. Allen	Registration No. (Attorney/Agent)	27,301	Telephone	650-326-2400
Signature	Kenneth R. Allen			Date	Oct 22, 2001



Attorney Docket No.: 020945-001510US



### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Xiaopeng Chen et al.

Application No.: 09/882,283

Filed: June 14, 2001

METHOD FOR ITERATIVE For:

> AND NON-ITERATIVE DATA **DETECTION USING REDUCED-**

STATE SOFT-INPUT/SOFT-**OUTPUT ALGORITHMS FOR** COMPLEXITY REDUCTION

Examiner:

Unassigned

Art Unit:

2631

TRANSMITTAL LETTER -

RESPONSE TO NOTICE OF MISSING

PARTS

ATTN: BOX MISSING PARTS **Assistant Commissioner for Patents** Washington, D.C. 20231

Sir:

Pursuant to the Notice to File Missing Parts of Nonprovisional Application, dated August 21, 2001, enclosed are the following to be made of record in the above-identified application:

- 1) Executed Declaration.
- 2) Power of Attorney and Statement of Assignee Under 37 C.F.R. § 3.73(b).
- 3) Copy of Notice of Missing Parts.

Please charge Deposit Account No. 20-1430 for the following fees:

Large Entity:

(a) Filing Fee (§ 1.16(a)) (Large Entity) \$740.00

Excess Claims Fees (§ 1.16(b), (c)): (b)

32 - 20 = 12x \$18.00 =

\$216.00

7 - 3 = 4

x \$84.00 =

\$336.00

Missing Parts Surcharge

\$130.00

TOTAL FEES TO BE CHARGED

\$1,422.00

Xiaopeng Chen et al. Application No.: 09/882,283

Page 2

The Commissioner is hereby authorized to charge any additional fees associated with this paper or during the pendency of this application, or credit any overpayment, to Deposit Account No. 20-1430. This Response is submitted in duplicate.

Respectfully submitted,

Kenneth R. Allen Reg. No. 27,301

Customer No. 20350

TOWNSEND and TOWNSEND and CREW LLP Two Embarcadero Center, 8<sup>th</sup> Floor San Francisco, California 94111-3834

Tel: 650-326-2400 Fax: 415 576-0300 KRA/KC/ka PA 3178441 v1



## United States Patent and Trace

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231 www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/882,283

06/14/2001

Xiaopeng Chen

020945-001510US

20350 TOWNSEND AND TOWNSEND AND CREW TWO EMBARCADERO CENTER **EIGHTH FLOOR** SAN FRANCISCO, CA 94111-3834



Date Mailed: 08/21/2001

### NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

### FILED UNDER 37 CFR 1.53(b)

### Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$268.
  - \$108 for 12 total claims over 20.
  - \$160 for 4 independent claims over 3.
- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 688.

	09882283	
A copy of this notice <u>MUST</u> be returned with the reply.	201430 0	
Customer Service Center	00000051	740.00 CH 336.00 CH 216.00 CH 130.00 CH
Initial Patent Examination Division (703) 308-1202 PART 2 - COPY TO BE RETURNED WITH RESPONSE	NLUANG	
	1/10/2002	1 FC:101 2 FC:102 3 FC:103 4 FC:105